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**37 CFR 1.98(a)(1) Reference Citation for Information Disclosure Statement**

**Neifeld Docket No:** PIP155CARR-US

Application/Patent No: 10/559,937

USPTO CONFIRMATION NO: 1038

File/Issue Date: 12/8/2005

Inventor/Title: Carr/Assumed Demographics, Predicted Behavior, and Targeted Incentives

RELATED CASE DOCKET NUMBERS: PIP-120-DAVI-US; PIP-120-DAVI-US1;

PIP-120-DAVI-US2; PIP155CARR-US; PIP155CARR-US1

**LISTING OF UNITED STATES PATENTS - U series**

EXAMINER INITIALS	REFERENCE NUMBER (U SERIES)	PATENT NUMBER	ISSUE DATE (MM/DD/YYYY)	NAME OF PATENTEE OR APPLICANT	PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED ("Of Record" indicates that the reference is already of record.)
	U-001	4,961,089	10/02/1990	Jamzadeh	Previously cited in this application
	U-002	5,832,457	11/03/1998	O'Brien et al.	Previously cited in this application
	U-003	5,774,868	06/30/1998	Cragun	Previously cited in this application
	U-004	5,930,764	07/27/1999	Melchione	Previously cited in this application
	U-005	5,999,914	12/07/1999	Blinn	Previously cited in this application
	U-006	6,119,099	09/12/2000	Walker	Previously cited in this application
	U-007	6,334,110	12/25/2001	Walter et al.	Previously cited in this application
	U-008	6,055,513	04/25/2000	Katz et al.	Previously cited in this application
	U-009	6,073,112	06/06/2000	Geerlings	Previously cited in this application
	U-010	7,107,225	09/2006	McClung, III	Previously cited in this application
	U-011	7,653,594	01/26/2010	Davis	Previously cited in this application
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**LISTING OF UNITED STATES PUBLISHED APPLICATIONS - P Series**

EXAMINER INITIALS	REFERENCE NUMBER (P SERIES)	PUBLICATION NUMBER	PUBLICATION DATE (MM/DD/YYYY)	NAME OF PATENTEE OR APPLICANT	PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE CITED ("Of Record" indicates that the reference is already of record.")
	P-001	2001/014868	08/16/2001	Herz	Previously cited in this application
	P-002	2002/0087384	07/2002	Neifeld	Previously cited in this application
	P-003	2004/0143502	07/2004	McClung, III	Previously cited in this application
	P-004	2007/0078869	04/05/07	Carr	Previously cited in this application

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**LISTING OF FOREIGN AND INTERNATIONAL PATENT DOCUMENTS - F Series**

EXAMINER INITIALS	REFERENCE NUMBER (F SERIES)	PUBLICATION NUMBER	PUBLICATION DATE (MM/DD/YYYY)	COUNTRY OR REGION	PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL	ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED ("Of Record" indicates that the reference is already of record.)
	F-001	WO 02/054324	07/11/2002	WO		This application corresponds to Japanese Laid-Open Patent Application No. 2005-502933 Previously cited in this application
	F-002	JP 2001-282982	12/10/2001	JP		Previously cited in this application
	F-003	JP 2001-175761	06/29/2001	JP		No English translation Previously cited in this application
	F-004	JP 2003-203181	07/18/2003	JP		No English translation Previously cited in this application
	F-005	WO 99/03030	01/21/1999	WO		Previously cited in this application
	F-006	WO 99/12117	03/11/1999	WO		Previously cited in this application
	F-007	WO 01/71683	09/27/2001	WO		Previously cited in this application

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**LISTING OF NON PATENT LITERATURE - O Series**

EXAMINER INITIALS	REFERENCE NUMBER (O Series)	PUBLICATION DATE (MM/DD/YYYY)	INCLUDE IN SEQUENCE: Name of first author (in CAPITAL LETTERS), Title in quotation marks, name of publication, date or publication, page numbers, publisher, city of publication, and country of publication	ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED ("Of Record" indicates that the reference is already of record.")
	O-001	11/13/2003	PCT ISR for PCT/US02/25957	Previously cited in this application
	O-002	10/12/2001	Patent Abstracts of Japan, publication number 2001-282982	Previously cited in this application
	O-003	06/29/2001	Patent Abstracts of Japan, publication number 2001-175761	Previously cited in this application
	O-004	07/18/2003	Patent Abstracts of Japan, publication number 2003-203181	Previously cited in this application
	O-005	08/25/2008	English translation of office action in Japanese patent application 2003-579041, office action drafted 8/25/2008	Previously cited in this application
	O-006	03/25/2009	ESR for EP 02757131	Previously cited in this application
	O-007	06/28/2006	PCT International Report on Patentability with Written Opinion of the ISA in PCT/US04/26736	Previously cited in this application
	O-008	06/28/2006	PCT ISR for PCT/US04/26736	Previously cited in this application
	O-009	01/01/2000	BANKER ET AL. "AN EMPIRICAL INVESTIGATION OF AN INCENTIVE PLAN THAT INCLUDES NONFINANCIAL PERFORMANCE MEASURES" JANUARY 2000, THE ACCOUNTING REVIEW, VOL 75, NO 1, PP 65-92.	Previously cited in this application
	O-010	03/21/1991	CURRIM ET AL. "A TAXONOMY OF CONSUMER PURCHASE STRATEGIES IN A PROMOTION INTENSIVE ENVIRONMENT" SPRING 1991, MARKETING SCIENCE, VOL 10, NO 2, PP 91-110.	Previously cited in this application

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 PIP-120-DAVI-US2; PIP155CARR-US; PIP155CARR-US1

	O-011	02/01/1996	RHEE, BYON-DUK "CONSUMER HETEROGENEITY AND STRATEGIC QUALITY DECISIONS" FEBRUARY 1996, MANAGEMENT SCIENCE, VOL 42, NO 2, PP 157-172.	Previously cited in this application
	O-012	08/28/2008	Definition of "Predictive", One Look Dictionary Search, <a href="http://www.onelook.com">http://www.onelook.com</a> 8/28/2008	Previously cited in this application
	O-013	08/28/2008	Definition of "December 17, 2009", "Data", Netlingo, <a href="http://www.netlingo.com">http://www.netlingo.com</a> 8/28/2008	Previously cited in this application
	O-014	08/28/2008	Definition of "Record", One Look Dictionary Search, <a href="http://www.onelook.com">http://www.onelook.com</a> 8/28/2008	Previously cited in this application
	O-015		Claims filed 11/25/2009 in application US 12/626,003.	Previously cited in this application
	O-016		Claims filed 11/26/2009 in application US 12/626,677.	Previously cited in this application
	O-017	10/16/2006	Claims filed by preliminary amendment filed 10/16/2006 in US 10/559,937.	Previously cited in this application
	O-018		Summons to attend oral proceedings in associated application EP 02757131.4, dated 11/11/2009.	
	O-019		Date, "An Introduction to Database Systems", Fifth Edition, 1990, Contents Index and Pages 579-609.	

Date:	04/21/2010	Examiner's Signature:	/Khanh Le/
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